Information Note¹

Event: Workshops on Non-Proliferation and Export Compliance

Organizer: China Arms Control and Disarmament Association (CACDA) in cooperation with the King's College London Centre for Science and Security Studies (CSSS)

- Date and Venue: 29 30 January, Chongqing, China
- **Participants:** Governmental officials: Ministry of Foreign Affairs, China Customs (General Administration of Customs, GACC and China Customs Radiation Detection Training Center, RDTC), and officials from Chongqing Municipality

Industry: 63 representatives from China Great Wall Industry Corporation (CGWIC), Shanghai Academy of Aerospace Industry, China Machinery Engineering Corporation (CMEC), China National Precision Machinery I/E Corp., AVIC (Aviation Corporation of China) International, China National Aero-Technology Import & Export Corporation (CATIC), China North Industries Corporation (NORINCO), POLY Technologies INC, China Shipbuilding & Offshore International Co., Ltd. (CSOC), Alibaba Group, Yokogawa Sichuan Instrument Co,Ltd, Chongqing Dubail Import & Export Co. Ltd., Skysilicon Co., LTD., Chongqing Iron and Steel Import & Export Company (a subsidiary of Chongqing Iron and Steel Group, CISC), Southwest Aluminum (Group) Co., Ltd (China LCO), MCC CISDI Engineering Co., LTD, Chongqing ChangZheng Heavy Industry Co., Ltd., Chongqing General Industry Company (Group) Limited, Chongqing Machinery & electronics Holding (Group) Co. Ltd. and its subsidiary companies, Chongqing Wangjiang Industry Co., LTD., Chongqing ChangAn Industry (Group) Co., LTD., Chongqing Aerospace Launch Vehicle Electornic Technology Co., Ltd, Chongqing Aerospace Shipping Company, Chongqing Industry, Industry Chongqing Unisplendour Chemical Co., Ltd., Chongqing Research Institute of Chemical Industry, Chongqing Chuandong Chemical (Group) Co., Ltd., Chongqing Dafang Synthetic Chemical Co., Ltd., China Automotive Engineering Research Institute Co. Ltd. (CAERI), Jialing Group Foreign Trading Development Co., Ltd., Huaxi Packaging Group Co., Ltd. and other related enterprises.

UN entities and others: Iran Panel of Experts and 1540 Committee expert, British Embassy in Beijing

1. Objectives of Participation of 1540 expert:

¹ For information – not an official report. The views expressed here do not necessarily represent those of the 1540 Committee or of the organisers or participants in the event.

- Bring to the attention of the participants the key role of resolution 1540 (2004) in the context of the topic of the conference.
- Support the organizers of highlighting the key issues in the area of export controls from the perspective of resolution 1540 (2004) with a view to facilitating the promotion of awareness of Chinese industries on export controls and establishing self-compliance mechanisms;
- Conduct in-depth discussions with officials in Beijing to facilitate the possibility of a training course for 1540 Point of Contacts in Asia and Pacific.

2. Background

- Resolution 1540 (2004) calls upon States to develop appropriate ways to work with and inform industry and the public. Resolution 1977 (2011) encourages the 1540 Committee, at its discretion, to draw on relevant expertise, including the private sector, with, as appropriate, their state's consent. The Committee's Programme of Work requires it to "encourage States to develop appropriate ways to work with and inform industry regarding its obligations under national laws in line with subparagraph 8 (d)of resolution 1540 (2004); and to "consider and seize opportunities, as appropriate, for direct interaction, with their States' consent, with relevant industries and industry groups, academia and civil society".
- On 22 December 2014, Mr. Chen Kai, Secretary General of China Arms Control and Disarmament Association sent an invitation to the 1540 Committee Chair, requesting a 1540 Committee expert to participate in and provide presentation at the workshop. The purpose of the workshop was to raise the awareness of the relevant industries on export controls and promote the industry's internal compliance, in bolstering the implementation of effective national export controls.

3. Assessment

The workshop included more than 60 participants from Chinese industries and it provided the industry representatives an opportunity to get access to knowledge of non-proliferation and export control measures as a basic requirement related to their daily business. It raised the awareness of the business practitioners the non-proliferation and export control obligations when they are doing the business which will facilitate the goal of non-proliferation compliance. The workshop also promoted the industry's knowledge of establishing or maintaining an internal Industry Compliance Programme (ICP) and made industry representatives aware of the international approach to preventing proliferation and their obligations under national export control laws, in accordance with the spirit of resolution 1540 (2004).

4. Additional comments

For further information, please contact the 1540 Committee experts by e-mail at 1540experts@un.org